

11-26-01

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JC928 U.S. PTO  
11/06/01

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 28605/37697

JC986 U.S. PTO  
09/993096  
11/06/01

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Walter HOLZER and Rudolf MANUKOV

Title: APPARATUS AND METHOD FOR STABILIZING THE VOLTAGE  
OF AN AC GENERATOR WITH VARIABLE ROTOR SPEED

1. Type of Application

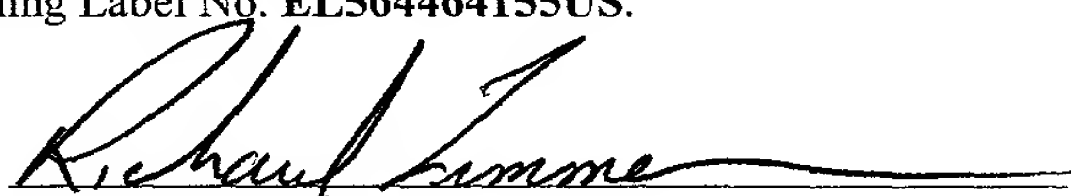
- ☒ This is a new application for a
- ☒ utility patent.

2. Application Papers Enclosed

- 1 Title Page
- 4 Pages of Specification  
(excluding Claims, Abstract, Drawings & Sequence Listing)
- 2 Pages of Claims
- 1 Page of Abstract
- 1 Sheet of Drawings (Fig. 1)
- ☒ Formal
- ☐ Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **November 6, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. **EL564464155US**.

  
Richard Zimmermann

09/993096-11/06/01

**3. Declaration or Oath**

- ☐ Enclosed
  - ☐ Executed by (check all applicable boxes)
    - ☐ Inventor(s)
    - ☐ Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
    - ☐ Joint inventor or person showing a proprietary interest  
on behalf of inventor who refused to sign or cannot be  
reached
      - ☐ The petition required by 37 CFR 1.47 and the  
statement required by 37 CFR 1.47 are enclosed.  
See Item 5D below for fee.
- ☒ Not enclosed - the undersigned attorney or agent is authorized to file  
this application on behalf of the applicant(s). An executed declaration  
will follow.

**4. Small Entity Status**

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

**5. Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Declaration of Biological Deposit
- ☐ Computer readable copy of sequence listing containing nucleotide  
and/or amino acid sequence
- ☐ Microfiche computer program
- ☐ Associate Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☐ An assignment of the invention
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Return receipt postcard
- ☐ Other

6. **Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

☐ are attached.

☒ will follow.

COUNTRY	APPLICATION NO.	FILED
Germany	DE 101 19 668.7	April 20, 2001

7. **Filing Fee Calculation (37 CFR 1.16)**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		
TOTAL	7 - 20	= 0	X 9 =	\$ 0.00	X 18 =	
INDEP	1 - 3	= 0	X 42 =	\$ 0.00	X 84 =	
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =	\$ 0.00	+ 280 =	
Filing Fee:				\$370.00	<b>OR</b>	

B. ☐ **Design Application (\$165.00/\$330.00) Filing Fee: \$** \_\_\_\_\_

C. ☐ **Plant Application (\$255.00/\$510.00) Filing Fee: \$** \_\_\_\_\_

D. **Other Fees**

☐ Recording Assignment [Fee -- \$40.00 per assignment] \$ \_\_\_\_\_

☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee -- \$130.00] \$ \_\_\_\_\_

☐ Other \$ \_\_\_\_\_

**Total Fees Enclosed \$370.00**

8. **Method of Payment of Fees**

- ☒ Enclosed check in the amount of: \$370.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$\_\_\_\_\_
- A copy of this Transmittal is enclosed.
- ☐ Not enclosed

9. **Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

10. **Correspondence Address**

**Customer No.: 04743**

Respectfully submitted,

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By:

CEM.  
Carl E. Moore, Jr.  
Registration No.: 26,487

**November 6, 2001**

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